

#### Segundo Joaquin Eclar Romero Jr

Lecturer, Development Studies Program Ateneo de Manila University, and President, UNIID-SEA, Inc.

Enhancing the Innovation for Inclusive Development (IID)
Readiness of National Research
Councils in Southeast Asia:
Process and Outcomes



### What is Innovation for Inclusive Development (IID)?

IID is broadly defined and understood as "innovation that reduces poverty and enables all groups of people, especially the poor and vulnerable, to participate in decision-making, create and actualize opportunities, and share the benefits of development." (IID Program Prospectus, IDRC, 2011)



#### UNIID-2LA

### Universities and Councils Network in Innovation in Southeast Asia

- Research
- Field-building
- Curriculum Development
- Network-building
- Capacity-building
- Policy advocacy
- Knowledge-sharing



Indonesia: Institut Pertanian Bogor, Institut Teknologi Bandung

Philippines: Ateneo de Manila University

Thailand: Chulalongkon University

Vietnam: Hoa Sen University (HCM)

Malaysia: University of Malaya

Universities Component headed by the Ateneo de Manila University School of Government (IDRC Support)



**National Research Council of Indonesia** 

National Research Council of the Philippines

**National Research Council of Thailand** 

National Council for Science and Technology Policy of Vietnam Research Councils Component headed by the National Research Council of the Philippines (IDRC Support)



Two regional competitive grant programs that awarded 24 research and training development grants for universities and NRCs

Supported 13 convening grants for universities and NRCs

Research grant outputs

Supported development and pilotingof 5 courses on IID, including one training program for local development professionals



As of November 2014, UNIID-SEA Network consisted of 128 network fellows from 10 different countries, and 21 institutional partnerships with universities, NRCs, non-governmental organizations, and government offices







#### The IID Challenge Grants Program

Design of IID Challenge Research Program

Application of IID-Oriented Criteria

Hands-On Intake and Selection Process for NRCs

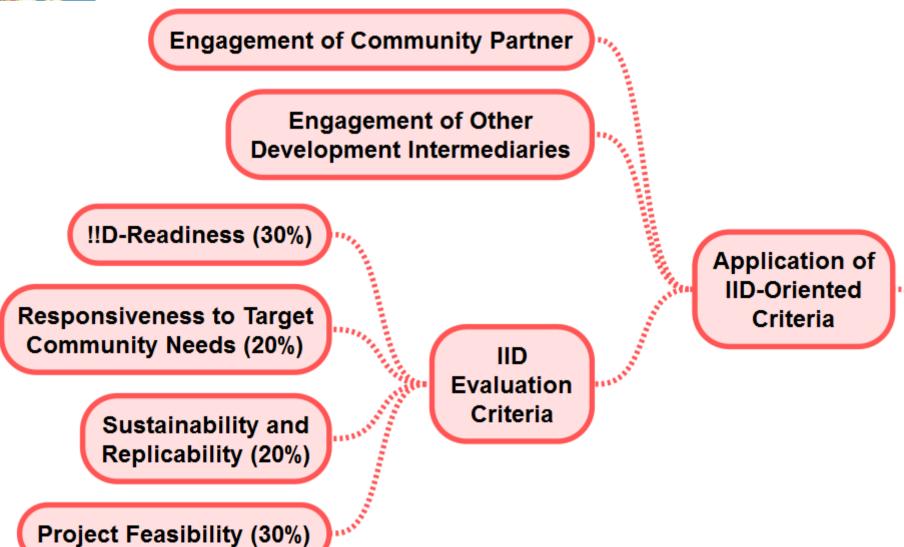
Field Validation of Short-Listed Applicants by NRCs

Award of Grants D

Two-Level Grant Management by NRCs The IID Challenge Program



### The IID Challenge Grants Program





### The IID Challenge Grant Program

Wide Dissemination through Social Media

Initial Secretariat Screening for IID content Hands-On Intake and Selection Process for NRCs

Second Level Screening with NRC Representatives in Joint Workshop

Field Validation of Short-Listed Applicants by NRCs



#### **Award of Grants**

Science Field Shops in Indonesia: Agrometeorological Learning and the Provision of Climate Services to Rice Farmers in Indramayu'

Sustainable Food Systems of Indigenous Peoples in the Philippines: Linking Nutrition, Agroecology, and Culture Towards Food Security and Resilient IP Communities

**Motorcycle Taxi Drivers as Community Surveyors** 

Innovative Accessibility Map Applications for People with Disabilities in Ho Chi Minh City 81 entries from Indonesia, Philippines, Thailand, and Vietnam; 4 grants of US\$15,000 each.



Science, Technology, and Innovation Governance Institutions in Indonesia, Philippines, Thailand, and Vietnam (Spence 2007)

	Policy	Funding	R&D/Innovation	Advisory
Indonesia	Ministry of Research & Technology (RISTEK)	RISTEK	RISTEK institutes (i.e. LIPI, BPPT)	National Research Council (DRN)
Philippines		DOST	DOST	NRCP
	(DOST)	Councils	R&D institutes	
		National Research Council (NRCP; basic	 	
	 	research)		 
Vietnam	National Council for Science & Technology Policy (NCSTP)	MOST	MOST centres & institutes	Vietnamese Academy of Science & Technology
	Mnistry of Science &			(VAST)
	Technology (MOST)			
Thailand	Ministry of Science & Technology (MOST)	National Science & Technology Development Agency (NSTDA)	NSTDA centres	NRCT
	National Innovation Agency	Thailand Research Fund (TRF)	†	
	(NIA)	National Bossesh Courtil (NBCD)		

National Research Council (NRCT)

NIA



NRCT has adopted the IID Challenge
Program through its Social, Area and Local
Community-Based Innovation Program,
provided an allotment for Social Innovation
Projects for Thailand. NRCT as a funding
agency also implements an IID research
framework that covers the following items:
Product innovation for well-being, social
innovation management that helps
vulnerable groups, linkages for innovation
scale-up and diffusion of ideas nationwide.

**Thailand** 



The NCSTP cites constraints to IID promotion -- research agenda setting unrealistic, linkages between key actors weak, incentive system inadequate, policy framework "old style", and financial structure rigid. Measures to overcome these: combined top down and bottom up approaches in research agenda setting, change in mentality in funding research and formulating R&D and STI policy, and building new linkages like Public-Private-Community Partnerships (PPCPs).

Vietnam



The UNIID-SEA involvement of the DRN has encouraged its Commission on Social Sciences and Humanities to more aggressively promote social concerns, among the other commissions, recently advocating "inclusive development" as one of the themes in the National Research Agenda of 2015-2019. DRN is in the position to encourage Regional Research Councils to identify specific innovations (products and processes both social and technical that respond to the needs of the poor and marginalized in the regions, provinces, districts, sub-districts, and villages.

Indonesia



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Indonesia



The NRCP has yet to cascade the framework to the NRCP members as envisioned in the project. The IID Challenge Grant framework has yet to be considered by the NRCP for adoption.

Nevertheless, there has been some progress, as IID will be used as the Conference theme for the NRCP General Membership assembly in 2016.

**Philippines** 

UNIID-SEA, Inc. engaging universities, councils, NGOs, private sector (e.g, Science Education Institute, Philippine Development Foundation, SEARCA, PCARRD, DFA, NEDA)



### A Network for SEA Research and Knowledge-Sharing Cooperation

Knowledge-Sharing Readiness -- Creation of the Southeast Asian Research Cooperation Network (ARCNet) -- Indonesia, Malaysia, Philippines, Thailand, Vietnam, Cambodia, Laos, Myanmar

SEA Region



#### Insights on IID Readiness of NRCs

The Thai and Vietnamese NRCs far more central in the science and technology and research development governance system in their respective countries and appear to have adopted and promoted IID earlier than their Philippine and Indonesian counterparts.

NRCs and universities in SEA have great potential to promote and lead in innovation for inclusive development in their society but they are not naturally suited for such a tssk.

The UNIID-SEA experience suggests that the traction of initiatives to transform research in requires a transformation of the science and technology and research and development governance structures, arrangements, and dynamics, especially the way institutions work together, and in the way appointments (leaders and managers) and appropriations (funds and resources) are distributed.

In addition to inter-institutional interoperability, there is also a need to look into the divide between the social sciences and the hard sciences in problem-solving for inclusive development, or more properly, the marginalization of the social science component of innovation for inclusive development.



### Insights on Broadening Public Participation in Research

There are a lot of untapped action research players at the grassroots level throughout SEA Region

Universities and Research Councils can bring these players into the STI and R&D Systems of the country and SEA region

The opportunities for doing so are great -- Governments and societies strain towards more inclusive development, and many IID-oriented strategies and policies are already in place



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